

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
Astengo 9212

In Re Application Of:

Paolo Astengo

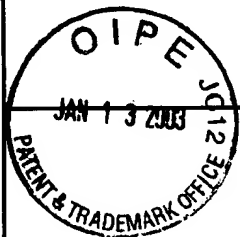
Serial No.  
09/816,267

Filing Date  
03/23/2001

Examiner  
Thanh Lam

Group Art Unit  
2834

Title: A Drive for a roller shutter winding tube



Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☒ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☒ a certification as specified in 37 CFR 1.97(e);

~~AND~~ AND

- ☒ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_) on \_\_\_\_\_

(Date)

*Signature*

*Typed or Printed Name of Person Signing Certificate*

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Signature of Person Mailing Correspondence*

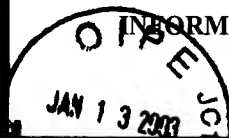
*Typed or Printed Name of Person Mailing Correspondence*

\*This certificate may only be used if paying by deposit account.

Dated:

*Signature*

CC:

<div style="text-align: center;">  <p><b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)</p> </div>	Docket Number (Optional) <b>Astengo-9212</b>	Application Number <b>09/816,267</b>
	Applicant(s) <b>Paolo Astengo</b>	
	Filing Date <b>03/23/2001</b>	Group Art Unit <b>2834</b>

U.S. PATENT DOCUMENTS							
*EXAM INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	3,257,572	6/21/66	L Ludemann et al			3/14/60	★
	4,194,266	3/25/80	Natzel	16	99	6/29/78	
	5,847,525	12/08/98	Cheron et al.	318	468	9/5/97	

FOREIGN PATENT DOCUMENTS								
REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation		
						YES	NO	
	EP 0784 146 A (SOMFY)	July 16,97	France ★	E06B	9/82			✓
	EP 0521709 A	Jan7,93	DE, FR, GB ★	HO2P	6/02	✓		
	2,775,729	March 4,99	France	E06B	9/17			✓
	DE 3222770A1	June 18,82	Germany	E06B	9/204			✓
	DE 3636855A1	Oct 29,88	Germany	E06B	9/204			✓

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
		<div style="display: flex; align-items: center;"> <div style="font-size: 2em; margin-right: 10px;">★</div> <div>From European Search Report</div> </div>

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



SERIAL NO. 09/816,267

FILING	03/23/2001
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GROUP	2834
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[illegible][illegible]


DATE CONSIDERED

**Form PTO-A820**  
**(also form PTO-1449)**